

**PATENT**  
*Attorney Docket No. 046700-5005*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Sam E. KINNEY, Jr. et al. :  
Application No.: 09/282,157 : Examiner: Alain Bashore  
Filed: March 31, 1999 : Group No.: 3624  
For: METHOD AND SYSTEM FOR  
CONDUCTING ELECTRONIC  
AUCTIONS WITH MULTI-  
PARAMETER PRICE  
EQUALIZATION BIDDING :

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(c), the above-identified Applicants cite the following references, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. These references are being resubmitted to provide English language abstracts for each non-English language reference. This Information Disclosure Statement is being filed before the mailing date of the final action and the required fee set forth in § 1.17(p) is being submitted simultaneously herewith.

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8)**

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Group Art Unit 3624, Washington, D.C. 20231.

Name Kim R. Jessum Signature KRJ Date of Deposit April 30, 2003

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In compliance with 37 C.F.R. § 1.98(a), copies of the references set forth below and listed on the attached Form PTO-1449 (1 sheet) are provided.

Foreign Patents

| <u>Country</u> | <u>Number</u> | <u>Date</u>    |
|----------------|---------------|----------------|
| JP             | 409101994A    | April 15, 1997 |
| JP             | 410078992A    | March 11, 1998 |

The relevance of the non-English references are that JP 409101994A discloses an auction information display and JP 410078992A discloses an automatic auction method.

Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning a copy of the enclosed Form PTO-1449 (1 sheets) with the next official action.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

**EXCEPT** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees

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due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension  
of time fees, or credit any overpayment to Deposit Account No. 50-0310.

Respectfully submitted,

April 30, 2003  
Date

By:   
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|---|----|------------------------|----------------|
| Substitute for Form 1449A/PTO   |    | Complete If Known      |                |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |    | Application Number     | 09/282,157     |
|   |    | Filing Date            | March 31, 1999 |
|   |    | First Named Inventor   | Kinney, et al. |
|   |    | Group Art Unit         | 3624           |
|   |    | Examiner Name          | Alain Bashore  |
|   |    | Attorney Docket Number | 046700-5005    |
| Sheet   | of |                        |                |

| U.S. PATENT DOCUMENTS |                      |                         |   |  |
|-----------------------|----------------------|-------------------------|---|--|
| Exr Initials          | U.S. Patent Document |                         | Name of Inventor or Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY |
|                       | Number               | Kind Code (if<br>known) |   |  |
|                       |                      |                         |   |  |
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| FOREIGN PATENT DOCUMENTS |                         |           |                         |   |   |
|--------------------------|-------------------------|-----------|-------------------------|---|---|
| Exr Initials             | Foreign Patent Document |           |                         | Name of Inventor or Applicant of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY |
|                          | Country Code            | Number    | Kind Code<br>(if known) |   |   |
|                          | JP                      | 409101994 | A                       | Kenji, et al.                                   | 03/11/1998  |
|                          | JP                      | 410078992 | A                       | Fusao   | 4/15/1997   |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|